

[Insert Name of Appropriate Official]

*"Queensland Alumina is an integral part of the Australian economy. The Commonwealth of Australia strongly supports the efforts by Lord Gregory Barker to restructure En+ Group and Rusal. This is a necessary step that will ensure stability in the global aluminium market and preserve jobs and manufacturing both in Australia and abroad.*

*Additionally, the Barker Plan will achieve the goals of the U.S. Government sanctions. We urge the U.S. Treasury to provide the time need to ensure the success of the plan."*

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(Officials' names, addresses, etc.)

Dear Mr. Meale and Mr. Bridge:

On behalf of the Commonwealth of Australia, I would like to express strong support for the Barker Plan to restructure the En+ Group (En+) and Rusal in order to lift the threat of sanctions against the companies. Specifically, we request an extension of any relevant deadlines to allow the time needed for the Barker Plan to be fully implemented.

Rusal owns 20% of Queensland Alumina and therefore, impacts jobs in our country. The U.S. sanctions will impact our economy and those workers.

Australia supports the Barker Plan as the most effective path to both avoid these severe negative impacts, and achieve all the goals of U.S. sanctions. We understand that important progress has been made, including the recent resignation from the En+ board of Mr. Oleg Deripaska, the true target of U.S. sanctions, along with the resignations of eight of his appointed board members at Rusal. Extending the sanction deadlines would allow further reforms of the Barker Plan to take effect, including the elimination of Mr. Deripaska's controlling interests in En+ and Rusal.

In light of all this, on behalf of the Commonwealth of Australia, I express strong support for the Barker Plan, and request any reasonable time extensions beyond the current early June deadline to allow it to be implemented.

Please share this communication with other relevant U.S. officials. Thank you for your time and consideration.

Sincerely,

Ambassador Joseph Hockey

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**Contact:**  
**Senator David Vitter**  
**Mercury, LLC**  
**[dvitter@mercuryllc.com](mailto:dvitter@mercuryllc.com)**  
**504-256-2300**

## **En+ Group and Queensland Alumina Ltd.**

### **SANCTIONS BACKGROUND**

- On April 6, the U.S. Treasury Department's Office of Foreign Asset Control (OFAC) designated a range of Russian officials and interests as subject to U.S. sanctions. This list included Russian oligarch Oleg Deripaska and the En+ Group (En+), as well as the world's second-largest aluminum company, Rusal.
- Mr. Deripaska currently controls 70% of En+.
- En+ owns 48% of Rusal but effectively controls it.
- Rusal owns 20% of Queensland Alumina. Therefore the jobs and critical economic activity of this company are at risk.
- Since the Treasury Department's announcement, sanctions have been enforced against Mr. Deripaska, En+ and Rusal.
- OFAC has extended the deadline of the sanctions to June 5 and 6, 2018.

### **THE BARKER PLAN**

- The independent chairman of En+, Lord Gregory Barker, is aggressively responding to the OFAC's actions in an effort to lift the sanctions on En+ and Rusal. The Barker Plan has three components:
  - Reduce Mr. Deripaska's ownership stake in En+ below 50%;
  - Remove Mr. Deripaska from the boards of both En+ and Rusal;
  - Replace Mr. Deripaska's allies on the boards by independent directors.
- Through these reforms, the Barker Plan would accomplish the Trump Administration's goal of removing Mr. Deripaska from control of En+ and Rusal. As U.S. Treasury Secretary Steven Mnuchin has stated: "*Our objective was not to put Rusal out of business . . . and the first aspect would be that he (Mr. Deripaska) sells down below 50% . . . .*"
- Lord Barker has the support of the company's minority shareholders, its independent board, and the London Stock Exchange.

- The Barker Plan is already underway:

- On May 18, 2018, Mr. Deripaska stepped down from the board of En+ effective immediately. He also announced he will not seek reelection to the board of Rusal.
- On May 23, 2018, Rusal's CEO resigned from the company along with seven directors, all of whom were appointed by Mr. Deripaska.

### **TIME IS OF THE ESSENCE**

- Lord Barker, as well as executives from En+ and Rusal, are engaged in very productive discussions with OFAC to successfully implement the Barker Plan and address the Trump Administration's concerns over Mr. Deripaska. In addition to the progress that has already been made to remove Mr. Deripaska and his allies from the boards of En+ and Rusal, Lord Barker is also in discussions with potential investors to buy down Mr. Deripaska's controlling interest.
- However, En+ and Rusal, under the guidance of Lord Barker, need additional time to fully implement the Barker Plan. The companies have requested an extension of the June deadlines for the imposition of U.S. sanctions.
- Unless the U.S. Treasury grants this extension, the impact on the global aluminum market--and all companies that depend on it--could be devastating.
- The London Stock Exchange has confirmed that it will suspend trading on En+ on June 1, 2018 if the deadlines for the sanctions are not extended.
- Due to specific regulations and filings required by the London Stock Exchange, reducing Mr. Deripaska's ownership stake will take a minimum of two months to address various financing and liability issues, and win approval from multiple regulators.
- Citibank and other financial institutions, will no longer be able to participate in the divestment and transfer of Mr. Deripaska's shares if the sanctions deadlines are not extended.
- Since he would be at risk of secondary sanctions, Lord Barker would not be able to remain in his role as an independent director if the sanctions deadlines are not extended.
- The resignation of Lord Barker would effectively destroy the Barker Plan.
- Failing to extend the sanctions deadlines would not only result in the collapse of the Barker Plan, it could lead to the nationalization of Rusal by the Russian Federation.

**SUPPORT FROM YOUR GOVERNMENT**

- Australia has as a clear and significant interest in the success of the Barker Plan. Manufacturing and jobs are at stake.
- To protect Australia economic interests and support the Barker Plan, we request Ambassador Hockey to take the following steps:
  1. Email a strong statement of support for the Barker Plan and all extensions necessary to execute it to key Trump Administration officials, including:
    - David Meale, Deputy Acting Assistant Secretary for Counter Threat Finance and Sanctions, U.S. State Department [MealeD@state.gov](mailto:MealeD@state.gov)
    - Seth Bridge, OFAC, U. S. Treasury Department, [Seth.Bridge@treasury.gov](mailto:Seth.Bridge@treasury.gov)
    - Any other officials with whom you have particularly good working relationships.
  2. Draft and submit to us a statement of support for public use in the future.

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(Officials' names, addresses, etc.)

Dear Mr. Meale and Mr. Bridge:

On behalf of the Federal Republic of Germany, I would like to express strong support for the Barker Plan to restructure the En+ Group (En+) and Rusal in order to lift the threat of sanctions against the companies. Specifically, we request an extension of any relevant deadlines to allow the time needed for the Barker Plan to be fully implemented.

As the second largest producer of aluminum in the world, Rusal impacts thousands of manufacturing jobs, including our automotive industry and companies such as BMW, Daimler and Volkswagen. Continued sanctions will severely impact our economy and those jobs.

Germany supports the Barker Plan as the most effective path to both avoid these severe negative impacts, and achieve all the goals of U.S. sanctions. We understand that important progress has been made, including the recent resignation from the En+ board of Mr. Oleg Deripaska, the true target of U.S. sanctions, along with the resignations of eight of his appointed board members at Rusal. Extending the sanction deadlines would allow further reforms of the Barker Plan to take effect, including the elimination of Mr. Deripaska's controlling interests in En+ and Rusal.

In light of all this, on behalf of the Germany, I express strong support for the Barker Plan, and request any reasonable time extensions beyond the current early June deadline to allow it to be implemented.

Please share this communication with other relevant U.S. officials. Thank you for your time and consideration.

Sincerely,

Ambassador Peter Wittig

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**[Insert Name of Appropriate Official]**

*"The Federal Republic of Germany urges the U.S. Treasury to support the Barker Plan and extend the sanctions deadlines to provide the time needed for the full plan to take effect. We are particularly concerned about the impact on the German automotive industry and such global giants as BMW, Daimler and Volkswagen. Extending the deadline will allow the U.S. Government to achieve its goals while also protecting workers and consumers around the world."*

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[Insert Name of Appropriate Official]

*"The Government of Jamaica expresses strong support for the Barker Plan to restructure En+ Group and Rusal in a way that would lead to lifting U.S. sanctions against En+ and Rusal, while also achieving all the goals of the sanctions policy. We urge the Trump Administration to grant any time extensions necessary for the plan to be expeditiously implemented.*

*Many jobs are at stake at the West Indies Alumina Company. Continued sanctions will hurt those jobs and workers and negatively impact consumers around the world."*

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(Officials' names, addresses, etc.)

Dear Mr. Meale and Mr. Bridge:

On behalf of the Government of Jamaica, I would like to express strong support for the Barker Plan to restructure the En+ Group (En+) and Rusal in order to lift the threat of sanctions against the companies. Specifically, we request an extension of any relevant deadlines to allow the time needed for the Barker Plan to be fully implemented.

Rusal owns a part of West Indies Alumina Company, WINDALCO. Continued sanctions will impact our economy, and those jobs and workers.

Jamaica supports the Barker Plan as the most effective path to both avoid these severe negative impacts, and achieve all the goals of U.S. sanctions. We understand that important progress has been made, including the recent resignation from the En+ board of Mr. Oleg Deripaska, the true target of U.S. sanctions, along with the resignations of eight of his appointed board members at Rusal. Extending the sanction deadlines would allow further reforms of the Barker Plan to take effect, including the elimination of Mr. Deripaska's controlling interests in En+ and Rusal.

In light of all this, on behalf of the government of Jamaica, I express strong support for the Barker Plan, and request any reasonable time extensions beyond the current early June deadline to allow it to be implemented.

Please share this communication with other relevant U.S. officials. Thank you for your time and consideration.

Sincerely,

Ambassador Audrey Marks

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**Contact:**  
**Senator David Vitter**  
**Mercury, LLC**  
**[dvitter@mercuryllc.com](mailto:dvitter@mercuryllc.com)**  
**504-256-2300**

## **En+ Group and the West Indies Alumina Company**

### **SANCTIONS BACKGROUND**

- On April 6, the U.S. Treasury Department's Office of Foreign Asset Control (OFAC) designated a range of Russian officials and interests as subject to U.S. sanctions. This list included Russian oligarch Oleg Deripaska and the En+ Group (En+), as well as the world's second-largest aluminum company, Rusal.
- Mr. Deripaska currently controls 70% of En+.
- En+ owns 48% of Rusal but effectively controls it.
- Rusal owns 7% of the West Indies Alumina Company, WINDALCO. Therefore, jobs and critical economic activity are at risk.
- Since the Treasury Department's announcement, sanctions have been enforced against Mr. Deripaska, En+ and Rusal.
- OFAC has extended the deadline of the sanctions to June 5 and 6, 2018.

### **THE BARKER PLAN**

- The independent chairman of En+, Lord Gregory Barker, is aggressively responding to the OFAC's actions in an effort to lift the sanctions on En+ and Rusal. The Barker Plan has three components:
  - Reduce Mr. Deripaska's ownership stake in En+ below 50%;
  - Remove Mr. Deripaska from the boards of both En+ and Rusal;
  - Replace Mr. Deripaska's allies on the boards by independent directors.
- Through these reforms, the Barker Plan would accomplish the Trump Administration's goal of removing Mr. Deripaska from control of En+ and Rusal. As U.S. Treasury Secretary Steven Mnuchin has stated: *"Our objective was not to put Rusal out of business . . . and the first aspect would be that he (Mr. Deripaska) sells down below 50% . . . ."*
- Lord Barker has the support of the company's minority shareholders, its independent board, and the London Stock Exchange.

- The Barker Plan is already underway:
  - On May 18, 2018, Mr. Deripaska stepped down from the board of En+ effective immediately. He also announced he will not seek reelection to the board of Rusal.
  - On May 23, 2018, Rusal's CEO resigned from the company along with seven directors, all of whom were appointed by Mr. Deripaska.

### **TIME IS OF THE ESSENCE**

- Lord Barker, as well as executives from En+ and Rusal, are engaged in very productive discussions with OFAC to successfully implement the Barker Plan and address the Trump Administration's concerns over Mr. Deripaska. In addition to the progress that has already been made to remove Mr. Deripaska and his allies from the boards of En+ and Rusal, Lord Barker is also in discussions with potential investors to buy down Mr. Deripaska's controlling interest.
- However, En+ and Rusal, under the guidance of Lord Barker, need additional time to fully implement the Barker Plan. The companies have requested an extension of the June deadlines for the imposition of U.S. sanctions.
- Unless the U.S. Treasury grants this extension, the impact on the global aluminum market--and all companies that depend on it--could be devastating.
- The London Stock Exchange has confirmed that it will suspend trading on En+ on June 1, 2018 if the deadlines for the sanctions are not extended.
- Due to specific regulations and filings required by the London Stock Exchange, reducing Mr. Deripaska's ownership stake will take a minimum of two months to address various financing and liability issues, and win approval from multiple regulators.
- Citibank and other financial institutions, will no longer be able to participate in the divestment and transfer of Mr. Deripaska's shares if the sanctions deadlines are not extended.
- Since he would be at risk of secondary sanctions, Lord Barker would not be able to remain in his role as an independent director if the sanctions deadlines are not extended.
- The resignation of Lord Barker would effectively destroy the Barker Plan.
- Failing to extend the sanctions deadlines would not only result in the collapse of the Barker Plan, it could lead to the nationalization of Rusal by the Russian Federation.

**SUPPORT FROM YOUR GOVERNMENT**

- Jamaica has a clear and significant interest in the success of the Barker Plan. Manufacturing and jobs are at stake.
- To protect Jamaican economic interests and support the Barker Plan, we request Ambassador Marks to take the following steps:
  1. Email a strong statement of support for the Barker Plan and all extensions necessary to execute it to key Trump Administration officials, including:
    - David Meale, Deputy Acting Assistant Secretary for Counter Threat Finance and Sanctions, U.S. State Department, [MealeD@state.gov](mailto:MealeD@state.gov)
    - Seth Bridge, OFAC, U. S. Treasury Department, [Seth.Bridge@treasury.gov](mailto:Seth.Bridge@treasury.gov)
    - Any other officials with whom you have particularly good working relationships.
  2. Draft and submit to us a statement of support for public use in the future.

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**[Insert Name of Appropriate Official]**

*Kubikenborg Aluminum is the largest industrial facility in Sweden. Hundreds of Swedish jobs depend upon the plant. If Lord Barker is not given appropriate time to execute his plan, it could have a devastating impact on Sweden, as well as European and global aluminum markets and consumers. We urge the U. S. Treasury to grant an extension to allow the Barker Plan to complete the restructuring of En+ and Rusal.*

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(Officials' names, addresses, etc.)

Dear Mr. Meale and Mr. Bridge:

On behalf of the Kingdom of Sweden, I would like to express strong support for the Barker Plan to restructure the En+ Group (En+) and Rusal in order to lift the threat of sanctions against the companies. Specifically, we request an extension of any relevant deadlines to allow the time needed for the Barker Plan to be fully implemented.

Rusal owns Kubikenborg Aluminium, which is Sweden's largest industrial facility and employs thousands of jobs across our country. The U.S. sanctions will severely impact Sweden's economy and those jobs.

Sweden supports the Barker Plan as the most effective path to both avoid these severe negative impacts, and achieve all the goals of U.S. sanctions. We understand that important progress has been made, including the recent resignation from the En+ board of Mr. Oleg Deripaska, the true target of U.S. sanctions, along with the resignations of eight of his appointed board members at Rusal. Extending the sanction deadlines would allow further reforms of the Barker Plan to take effect, including the elimination of Mr. Deripaska's controlling interests in En+ and Rusal.

In light of all this, on behalf of the government of Sweden, I express strong support for the Barker Plan, and request any reasonable time extensions beyond the current early June deadline to allow it to be implemented.

Please share this communication with other relevant U.S. officials. Thank you for your time and consideration.

Sincerely,

Ambassador Karin Olofsdotter

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Contact:  
Senator David Vitter  
Mercury, LLC  
[dvitter@mercuryllc.com](mailto:dvitter@mercuryllc.com)  
504-256-2300

## **En+ Group and Kubikenborg Aluminum**

### **SANCTIONS BACKGROUND**

- On April 6, the U.S. Treasury Department's Office of Foreign Asset Control (OFAC) designated a range of Russian officials and interests as subject to U.S. sanctions. This list included Russian oligarch Oleg Deripaska and the En+ Group (En+), as well as the world's second-largest aluminum company, Rusal.
- Mr. Deripaska currently controls 70% of En+.
- En+ owns 48% of Rusal but effectively controls it.
- Rusal owns Kubikenborg Aluminum, which is the largest industrial facility in Sweden. Therefore, hundreds of jobs and critical economic activity are at risk.
- Since the Treasury Department's announcement, sanctions have been enforced against Mr. Deripaska, En+ and Rusal.
- OFAC has extended the deadline of the sanctions to June 5 and 6, 2018.

### **THE BARKER PLAN**

- The independent chairman of En+, Lord Gregory Barker, is aggressively responding to the OFAC's actions in an effort to lift the sanctions on En+ and Rusal. The Barker Plan has three components:
  - Reduce Mr. Deripaska's ownership stake in En+ below 50%;
  - Remove Mr. Deripaska from the boards of both En+ and Rusal;
  - Replace Mr. Deripaska's allies on the boards by independent directors.
- Through these reforms, the Barker Plan would accomplish the Trump Administration's goal of removing Mr. Deripaska from control of En+ and Rusal. As U.S. Treasury Secretary Steven Mnuchin has stated: "*Our objective was not to put Rusal out of business . . . and the first aspect would be that he (Mr. Deripaska) sells down below 50% . . .*"
- Lord Barker has the support of the company's minority shareholders, its independent board, and the London Stock Exchange.

- The Barker Plan is already underway:
  - On May 18, 2018, Mr. Deripaska stepped down from the board of En+ effective immediately. He also announced he will not seek reelection to the board of Rusal.
  - On May 23, 2018, Rusal's CEO resigned from the company along with seven directors, all of whom were appointed by Mr. Deripaska.

### TIME IS OF THE ESSENCE

- Lord Barker, as well as executives from En+ and Rusal, are engaged in very productive discussions with OFAC to successfully implement the Barker Plan and address the Trump Administration's concerns over Mr. Deripaska. In addition to the progress that has already been made to remove Mr. Deripaska and his allies from the boards of En+ and Rusal, Lord Barker is also in discussions with potential investors to buy down Mr. Deripaska's controlling interest.
- However, En+ and Rusal, under the guidance of Lord Barker, need additional time to fully implement the Barker Plan. The companies have requested an extension of the June deadlines for the imposition of U.S. sanctions.
- Unless the U.S. Treasury grants this extension, the impact on the global aluminum market--and all companies that depend on it--could be devastating.
- The London Stock Exchange has confirmed that it will suspend trading on En+ on June 1, 2018 if the deadlines for the sanctions are not extended.
- Due to specific regulations and filings required by the London Stock Exchange, reducing Mr. Deripaska's ownership stake will take a minimum of two months to address various financing and liability issues, and win approval from multiple regulators.
- Citibank and other financial institutions, will no longer be able to participate in the divestment and transfer of Mr. Deripaska's shares if the sanctions deadlines are not extended.
- Since he would be at risk of secondary sanctions, Lord Barker would not be able to remain in his role as an independent director if the sanctions deadlines are not extended.
- The resignation of Lord Barker would effectively destroy the Barker Plan.
- Failing to extend the sanctions deadlines would not only result in the collapse of the Barker Plan, it could lead to the nationalization of Rusal by the Russian Federation.

**SUPPORT FROM YOUR GOVERNMENT**

- Sweden has a clear and significant interest in the success of the Barker Plan. Manufacturing and jobs are at stake.
- To protect Sweden's economic interests and support the Barker Plan, we request Ambassador Olofsdotter to take the following steps:
  1. Email a strong statement of support for the Barker Plan and all extensions necessary to execute it to key Trump Administration officials, including:
    - David Meale, Deputy Acting Assistant Secretary for Counter Threat Finance and Sanctions, U.S. State Department [MealeD@state.gov](mailto:MealeD@state.gov)
    - Seth Bridge, OFAC, U. S. Treasury Department, [Seth.Bridge@treasury.gov](mailto:Seth.Bridge@treasury.gov)
    - Any other officials with whom you have particularly good working relationships.
  2. Draft and submit to us a statement of support for public use in the future.

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